

## PART B - FEE(S) TRANSMITTAL

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30743 7590 01/25/2005

WHITHAM, CURTIS & CHRISTOFFERSON, P.C.  
 11491 SUNSET HILLS ROAD  
 SUITE 340  
 RESTON, VA 20190

04/13/2005 JADD02 00000066 09853622

01 FC:1501 1400.00 OP  
 02 FC:1504 300.00 OP  
 03 FC:8001 6.00 OP

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(Depositor's name)	
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(Date)	

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/853,622	05/14/2001	Kazuhiko Hayashi	NEC2370-US	7276

**TITLE OF INVENTION:** METHOD FOR SMOOTHING A BARRIER LAYER IN A MAGNETORESISTIVE EFFECT SENSOR BY SMOOTHING A LAYER UPON WHICH THE BARRIER LAYER IS FORMED, AND A SENSOR HAVING A BARRIER LAYER FORMED UPON A SMOOTHED LAYER

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1400	\$300	\$1700	04/25/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
RENNER, CRAIG A	2652	360-324200

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

Whitham, Curtis &  
 Christofferson, PC

2 \_\_\_\_\_  
 3 \_\_\_\_\_

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

NEC Corporation

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☒ Advance Order - # of Copies 2

## 4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-2041 (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

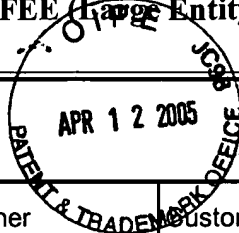
Authorized Signature Clyde R Christofferson  
 Typed or printed name Clyde R Christofferson

Date April 12, 2005  
 Registration No. 34,138

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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<b>TRANSMITTAL OF PAYMENT OF ISSUE FEE (Large Entity)</b> <b>(37 C.F.R. 1.311)</b>	Docket No. <b>04150012AA</b>
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Applicant(s): <b>K. Hayashi, et al.</b>
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Application No. <b>09/853,622</b>	Filing Date <b>May 14, 2001</b>	Examiner <b>C. A. Renner</b>	Customer No. <b>30743</b>	Group Art Unit <b>2652</b>	Confirmation No. <b>7276</b>
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Invention: <b>METHOD FOR SMOOTHING A BARRIER LAYER IN A MAGNETORESISTIVE EFFECT SENSOR BY SMOOTHING A LAYER UPON WHICH THE BARRIER LAYER IS FORMED, AND A SENSOR HAVING A BARRIER LAYER FORMED UPON A SMOOTHED LAYER</b>
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**Mail Stop Issue Fee**  
**COMMISSIONER FOR PATENTS**  
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Transmitted herewith are the following for the above-identified application.

- ☒ Issue Fee Transmittal Form PTOL-85
- ☒ Utility Fee:     \$ 1406.00         ☐ Design Fee: \_\_\_\_\_     ☐ Plant Fee: \_\_\_\_\_
- ☒ Publication Fee:     \$ 300.00
- ☒ A check in the amount of     \$1,706.00     is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No.     50-2041     as described below.
  - ☐ Charge the amount of
  - ☒ Credit any overpayment.
  - ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

*Signature*

Dated: **April 12, 2005**

**Clyde R Christofferson**  
**Reg No. 34,138**  
**Whitham, Curtis & Christofferson, PC**  
**11491 Sunset Hills Road, Suite 340**  
**Reston, Virginia 20190**  
**703-787-9400**

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